

Computation of the Galois groups  
occurring in M. Papanikolas's study of Carlitz logarithms

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## 1 Introduction

In this note, we give an alternative presentation of one of the ingredients occurring in M. Papanikolas's proof of the algebraic independence of Carlitz logarithms [7]. More precisely, the main theorem of [7] reduces the problem to the computation of the Galois group  $G_X$  of a certain  $t$ -motive  $X$ , and we present an alternative proof of the computation of  $G_X$ . The method is inspired from [5], and should apply to other situations, such as logarithms of Drinfeld elliptic modules, or values of  $\zeta$ -functions. We now recall the statement of Papanikolas's theorem, and the notations of his article, which will be kept throughout this note.

### 1.1 Notations

Let  $\mathbb{F}_q$  the field of  $q$ -elements, where  $q$  is a prime power of  $p$ . Let  $k = \mathbb{F}_q(\theta)$ , where  $\theta$  is transcendental over  $\mathbb{F}_q$ , and define an absolute valuation  $|.|_\infty$  at the infinite place of  $k$  such that  $|\theta|_\infty = q$ . Let  $k_\infty$  be the  $\infty$ -adic completion of  $k$ , let  $\overline{k_\infty}$  be an algebraic closure, let  $\mathbb{K}$  be the  $\infty$ -adic completion of  $\overline{k_\infty}$ ,  $\mathbb{T} := \mathbb{K}\{t\}$  is the ring of restricted power series and let  $\overline{k}$  be the algebraic closure of  $k$  in  $\mathbb{K}$ . For  $f = \sum_i a_i t^i$  in  $\mathbb{T}$ , we set  $f^{(-1)} = \sum_i a_i^q t^i$ .

**Definition 1.1** (see [7]) *We let  $\mathcal{T}$  be the category of  $t$ -motives in the sense of [7], 3.4.10.*

We recall that  $\mathcal{T}$  is a strictly full Tannakian sub-category of the category  $\mathcal{R}$  of rigid analytically trivial pre- $t$ -motives. Objects in  $\mathcal{R}$  correspond to certain  $\sigma$ -difference equations over  $\overline{k}(t)$ , and a fiber functor  $\omega$  on  $\mathcal{T}$  is provided by rigid analytic trivialization. In particular,  $\mathcal{T}$  is a neutral tannakian category over  $\mathbb{F}_q(t)$ . We denote its identity object by  $\mathbf{1}$ , and for any  $X$  in  $\mathcal{T}$ , we write  $G_X = \text{Aut}^\otimes(\omega_{|X})$  for the Galois group of  $X$  attached to the fiber functor  $\omega$ , see [7], 3.5.2, 4.4.1 and 5.4.10. By [7], 5.2.12.b, this is a reduced affine group scheme over  $\mathbb{F}_q(t)$ .

### 1.2 Exemples of $\sigma$ -equations associated to objects of $\mathcal{T}$

#### 1. The Carlitz motive

We define the Carlitz motive to be the pre- $t$ -motive  $\mathcal{C}$  whose underlying  $\overline{k}(t)$ -vector space is  $\overline{k}(t)$  itself and on which  $\sigma$  acts by

$$\sigma(f) := (t - \theta)f^{(-1)}, f \in \mathcal{C}$$

- (a) The Carlitz motive is rigid analytically trivial and one of its analytic trivialization is given by the function  $\frac{1}{\Omega}$  (see [7] 3.3.5).
- (b) The number  $\tilde{\pi} = -\frac{1}{\Omega(\theta)}$  is the *Carlitz period*.
- (c) The Galois group  $G_{\mathcal{C}}$  of  $\mathcal{C}$  is equal to  $\mathbb{G}_m$ .
- (d) Moreover, we have  $\text{End}_{\mathcal{T}}(\mathcal{C}, \mathcal{C}) = \mathbb{F}_q(t)$  (cf. [7] 3.5.3).

## 2. The Carlitz logarithm motive

Let  $\alpha_i \in \overline{k}^*$  with  $|\alpha_i|_{\infty} < |\theta|_{\infty}^{q/(q-1)}$ . Set :

$$\Phi(\alpha_i) := \begin{pmatrix} (t - \theta) & 0 \\ \alpha_i^{(-1)}(t - \theta) & 1 \end{pmatrix}.$$

$\Phi(\alpha_i)$  defines a pre- $t$ -motive  $X(\alpha_i)$ , which is an extension in the category  $\mathcal{T}$  of  $\mathbf{1}$  by the Carlitz motive  $\mathcal{C}$

$$0 \longrightarrow \mathcal{C} \longrightarrow X(\alpha_i) \longrightarrow \mathbf{1} \longrightarrow 0.$$

Indeed, the pre- $t$ -motive  $X(\alpha_i)$  is rigid analytically trivial (see [7] prop. 7.1.3) and its trivialization is given by :

$$\Psi(\alpha_i) := \begin{pmatrix} \Omega & 0 \\ \Omega L_{\alpha_i} & 1 \end{pmatrix},$$

where the function  $L_{\alpha_i}$  is defined as in [7], 7.1.1 : this is an element of  $\mathbb{T}$  satisfying the functional equation :

$$\sigma(L_{\alpha_i}) = \alpha_i^{(-1)} + \frac{L_{\alpha_i}}{(t - \theta)},$$

whose value at  $t = \theta$  is equal to the Carlitz logarithm  $\text{Log}_{\mathcal{C}}(\alpha_i)$  of  $\alpha_i$ .

## 3. The multiple Carlitz logarithm motive

Let  $\alpha_1, \dots, \alpha_r \in \overline{k}^*$  with  $|\alpha_i|_{\infty} < |\theta|_{\infty}^{q/(q-1)}$ . Set :

$$\Phi(\alpha_1, \dots, \alpha_r) := \begin{pmatrix} t - \theta & 0 & \cdots & 0 \\ \alpha_1^{(-1)}(t - \theta) & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_r^{(-1)}(t - \theta) & 0 & \cdots & 1 \end{pmatrix}.$$

$\Phi(\alpha_1, \dots, \alpha_r)$  defines a pre- $t$ -motive  $X(\alpha_1, \dots, \alpha_r)$  which is an extension of  $\mathbf{1}^r$  by the Carlitz motive  $\mathcal{C}$  :

$$0 \longrightarrow \mathcal{C} \longrightarrow X(\alpha_1, \dots, \alpha_r) \longrightarrow \mathbf{1}^r \longrightarrow 0.$$

The pre- $t$ -motive  $X(\alpha_1, \dots, \alpha_r)$  is rigid analytically trivial (see [7] prop. 7.1.3) and its trivialization is given by :

$$\Psi(\alpha_1, \dots, \alpha_r) := \begin{pmatrix} \Omega & 0 & \cdots & 0 \\ \Omega L_{\alpha_1} 1 & \cdots & 0 & \\ \vdots & \vdots & \ddots & \vdots \\ \Omega L_{\alpha_r} & 0 & \cdots & 1 \end{pmatrix}.$$

As in [5], proof of Cor. 2.2, we have :

**Lemma 1.2** *The tannakian category generated by  $X(\alpha_1, \dots, \alpha_r)$  in  $\mathcal{T}$  is equal to the Tannakian category generated by the motive  $\bigoplus_{i=1}^r X(\alpha_i)$ .*

### 1.3 Papanikolas's theorems on algebraic independence.

**Theorem 1.3 (Theorem 7.4.2 in [7])** *Let  $\lambda_1, \dots, \lambda_r \in \mathbb{K}$  satisfy  $\exp_C(\lambda_i) \in \overline{k}$  for  $i = 1, \dots, r$ . If  $\lambda_1, \dots, \lambda_r$  are linearly independent over  $k$ , then they are algebraically independent over  $\overline{k}$ .*

Since the period  $\tilde{\pi}$  satisfies  $\exp_C(\tilde{\pi}) = 0$ , we can rephrase Theorem 1.3 as follow : Let  $\lambda_1, \dots, \lambda_r \in \mathbb{K}$  satisfy  $\exp_C(\lambda_i) \in \overline{k}$  for  $i = 1, \dots, r$ . If  $\lambda_1, \dots, \lambda_r, \tilde{\pi}$  are linearly independent over  $k$ , then they are algebraically independent over  $\overline{k}$ .

Because the indetermination of the Carlitz logarithm is given by  $k$ -multiples of  $\tilde{\pi}$  (cf. [7], 7.4.1), this is in turn equivalent to

**Theorem 1.4** *Let  $\alpha_1, \dots, \alpha_r \in \overline{k}^*$  with  $|\alpha_i|_\infty < |\theta|_\infty^{q/(q-1)}$ . Assume that  $\tilde{\pi}, \log_C(\alpha_1), \dots, \log_C(\alpha_r)$  are linearly independent over  $k$ . Then they are algebraically independent over  $\overline{k}$ .*

Now,  $\tilde{\pi} = -\frac{1}{\Omega(\theta)}$ ,  $\log_C(\alpha_1) = L_{\alpha_1}(\theta), \dots, \log_C(\alpha_r) = L_{\alpha_r}(\theta)$ . Combining the main Theorem 1.1.7 of his article together with a previous transcendence criterion (Theorem 6.1.1), Papanikolas reduces the proof of Theorem 1.4 to showing :

**Theorem 1.5 (Theorem 7.3.2.c in [7])** *Let  $\alpha_1, \dots, \alpha_r \in \overline{k}^*$  with  $|\alpha_i|_\infty < |\theta|_\infty^{q/(q-1)}$ . Assume that  $\tilde{\pi}, \log_C(\alpha_1), \dots, \log_C(\alpha_r)$  are linearly independent over  $k$ . Then the dimension of the Galois group  $G_X$  of the  $t$ -motive  $X = X(\alpha_1, \dots, \alpha_r)$  is equal to  $r + 1$ .*

## 1.4 Sketch of the proof of Theorem 1.5

Following [7], we will work in the framework of the Tannakian category  $\mathcal{T}$  of  $t$ -motives, cf. Definition 1.1. As just recalled, the method of M. Papanikolas for proving Theorem 1.3 is to compute the Galois group  $G_X$  of the  $t$ -motive  $X$ . This is the content of Theorem 7.3.2 of [7], where  $G_X$  is denoted by  $\Gamma_X$ . Note, however, that the paragraph following (7.2.4.1) needs some clarification, since  $\Gamma_X$  is not a linear subspace. In this note, we will give a tannakian version of the computation of  $G_X$ , which while settling this point, actually simplifies the proof of [7], and points towards further generalizations of Theorem 1.3.

So, we have to compute the dimension of the Galois group attached to the motive  $X = X(\alpha_1, \dots, \alpha_r)$ . To this purpose, we deduce from Lemma 1.2 that the Galois group of  $X$  is equal to the Galois group  $G$  of  $\bigoplus_{i=1}^r X(\alpha_i)$ . As in [7], 7.2.2, we see that the quotient of  $G$  by its unipotent radical is isomorphic to the Galois group of the Carlitz motive  $\mathcal{C}$ , i.e to  $\mathbb{G}_m$ . Therefore, it remains to compute the dimension of the unipotent radical of  $G$ , that is the unipotent radical of the Galois group of a sum of extensions of  $\mathbf{1}$  by the Carlitz motive.

To compute the latter dimension, we will use the theorems of Section 2 below, which reduce the problem to a question of linear algebra ; this section combines the arguments of [5] with Papanikolas's crucial observation that the unipotent radical is a *vectorial* group, see [7], 7.2.3. Finally, Section 3 completes the proof of Theorem 1.5, along the lines of [7], bottom of p. 50.

## 2 Computation of Galois groups in Tannakian categories in characteristic $p$

Let  $p$  be a prime number. Let  $(\mathbf{T}, \omega)$  be a neutral Tannakian category over a field  $C$  of characteristic  $p$ . Let  $\mathbf{1}$  denotes the unit object of  $\mathbf{T}$ , so that  $C = \text{End}(\mathbf{1})$  and  $\omega : \mathbf{T} \mapsto \text{Vect}_C$ . In the application to [7],  $\mathbf{T} = \mathcal{T}$  and  $C = \mathbb{F}_q(t)$ , where  $q$  is a power of  $p$  and  $t$  is transcendental over  $\mathbb{F}_q$ .

For any object  $\mathcal{X}$  in  $\mathbf{T}$ , we denote by  $G_{\mathcal{X}}$  the linear algebraic group scheme  $\text{Aut}^{\otimes}(\omega|_{<\mathcal{X}>})$  over  $C$ . Furthermore, we identify  $C$ -vector spaces such as  $\omega(\mathcal{X})$  to *vectorial groups* over  $C$ .

**Theorem 2.1** *Let  $\mathcal{Y}$  be an object of  $\mathbf{T}$ , and let  $\mathcal{U}$  be an extension of  $\mathbf{1}$  by  $\mathcal{Y}$ . Assume that  $G_{\mathcal{U}}$  is reduced, that  $G_{\mathcal{Y}} = \mathbb{G}_m$ , and that the action of  $\mathbb{G}_m$  on  $\omega(\mathcal{Y})$  is given by its canonical character. Then the unipotent radical of the Galois group  $G_{\mathcal{U}}$  is equal to  $\omega(\mathcal{V})$  where  $\mathcal{V}$  is the smallest sub-object of  $\mathcal{Y}$  such that  $\mathcal{U}/\mathcal{V}$  is a trivial extension of  $\mathbf{1}$  by  $\mathcal{Y}/\mathcal{V}$ .*

### Proof

First of all, we remark that every  $\mathbb{G}_m$ -module of finite dimension over  $C$  is completely reducible (see [6] p.35). By Tannaka theorem (see [4]), there is an equivalence of category

between  $\langle \mathcal{Y} \rangle$  and the category  $Rep_{G_{\mathcal{Y}}}$  of  $G_{\mathcal{Y}}$ -modules of finite dimension over  $C$ . Then, it is clear that  $\mathcal{Y}$  is a completely reducible object in  $\mathbf{T}$ .

*Existence of the smallest sub-object*

Let us denote by  $\mathbf{V}$  the set of sub-objects  $\mathcal{W}$  of  $\mathcal{Y}$  such that  $\mathcal{U}/\mathcal{W}$  is a trivial extension of  $\mathbf{1}$  by  $\mathcal{Y}/\mathcal{W}$ . It is enough to prove that if  $\mathcal{V}_1$  and  $\mathcal{V}_2$  are in  $\mathbf{V}$ , their intersection  $\mathcal{W}$  lies in  $\mathbf{V}$ .

Because  $\mathcal{Y}$  is completely reducible, there exist three sub-objects  $\mathcal{V}'$ ,  $\mathcal{W}'_1$ ,  $\mathcal{W}'_2$  of  $\mathcal{Y}$  such that :

1.  $\mathcal{V}_1 = \mathcal{W} \oplus \mathcal{W}'_1$ ,  $\mathcal{V}_2 = \mathcal{W} \oplus \mathcal{W}'_2$ .
2.  $\mathcal{Y} = \mathcal{V}_1 \oplus \mathcal{W}'_2 \oplus \mathcal{V}' = \mathcal{V}_2 \oplus \mathcal{W}'_1 \oplus \mathcal{V}' = \mathcal{W} \oplus \mathcal{W}'_2 \oplus \mathcal{W}'_1 \oplus \mathcal{V}'$

We have :

$$Ext^1(\mathbf{1}, \mathcal{Y}) \simeq Ext^1(\mathbf{1}, \mathcal{V}_1) \times Ext^1(\mathbf{1}, \mathcal{W}'_2 \oplus \mathcal{V}') \text{ et } Ext^1(\mathbf{1}, \mathcal{Y}) \simeq Ext^1(\mathbf{1}, \mathcal{V}_2) \times Ext^1(\mathbf{1}, \mathcal{W}'_1 \oplus \mathcal{V}').$$

Because  $\mathcal{V}_1$  and  $\mathcal{V}_2$  are in  $\mathbf{V}$ , the projection of  $\mathcal{U}$  is trivial on  $Ext^1(\mathbf{1}, \mathcal{W}'_2 \oplus \mathcal{V}')$  and on  $Ext^1(\mathbf{1}, \mathcal{W}'_1 \oplus \mathcal{V}')$ . Then the projection of  $\mathcal{U}$  is also trivial on  $Ext^1(\mathbf{1}, \mathcal{W}'_2 \oplus \mathcal{W}'_1 \oplus \mathcal{V}')$  and thus  $\mathcal{W}$  is in  $\mathbf{V}$ .

*Computation of the unipotent radical  $R_u$  of the Galois group  $G_{\mathcal{U}}$  of  $\mathcal{U}$*

By assumption,  $\mathcal{U}$  lies in an exact sequence :

$$0 \longrightarrow \mathcal{Y} \xrightarrow{i} \mathcal{U} \xrightarrow{p} \mathbf{1} \longrightarrow 0.$$

Let  $R$  be a  $C$ -algebra. Since the categories  $\langle \mathcal{U} \rangle$  and  $Rep_{G_{\mathcal{U}}}$  are equivalent,  $\omega(\mathcal{U}) \otimes R$  is an extension of the unit representation  $1_R$  par  $\omega(\mathcal{Y}) \otimes R$  in the category  $Rep_{G_{\mathcal{U}}(R)}$  of  $G_{\mathcal{U}}(R)$ -modules of finite rank over  $R$ . Consider the exact sequence of free  $R$ -modules :

$$0 \longrightarrow \omega(\mathcal{Y}) \otimes R \xrightarrow{\omega(i)^R} \omega(\mathcal{U}) \otimes R \xrightarrow[\substack{\omega(p)^R \\ s^R}]{} R \longrightarrow 0,$$

fix a section  $s$  of the underlying exact sequence of  $C$ -vector spaces, and put  $f^R = s^R(1) \in \omega(\mathcal{U}) \otimes R$ , where  $s^R = s \otimes 1$ .

Let us consider the morphism of  $C$ -schemes  $\zeta_{\omega(\mathcal{U})}^R : G_{\mathcal{U}}(R) \rightarrow \omega(\mathcal{Y}) \otimes R$  defined by the relation :

$$\forall \sigma \in G_{\mathcal{U}}(R), \zeta_{\omega(\mathcal{U})}^R(\sigma) = (\sigma - 1)f^R.$$

This defines a morphism of schemes  $\zeta_{\omega(\mathcal{U})}$  over  $C$  from  $G_{\mathcal{U}}$  with value in the  $C$ -vector space  $\omega(\mathcal{Y})$ , whose restriction to  $R_u$  is an immersion of algebraic group-schemes over  $C$  from  $R_u$  to the  $C$ -vectorial group  $\omega(\mathcal{Y})$ . Since  $G_{\mathcal{U}}$  is reduced, its scheme theoretic image is again reduced, and we have :

**Lemma 2.2 (see [5], 2.8 and [7], 7.2.3)** *The image  $W$  of  $R_u$  under  $\zeta_{\omega(\mathcal{U})}$  is a  $C$ -vectorial subgroup of the  $C$  vectorial group  $\omega(\mathcal{Y})$ .*

**Proof**

Since  $W$  is reduced, it suffices to check this on points in the algebraic closure of  $C$ . For all  $\sigma_1 \in G_{\mathcal{Y}}$  and  $\sigma_2 \in R_u$ , we have

$$\zeta_{\omega(\mathcal{U})}(\sigma_1 \sigma_2 \sigma_1^{-1}) = \sigma_1(\zeta_{\omega(\mathcal{U})}(\sigma_2)).$$

Indeed, we have :

$$\sigma_1 \zeta_{\omega(\mathcal{U})}(\sigma_1^{-1}) = (1 - \sigma_1)f = -\zeta_{\omega(\mathcal{U})}(\sigma_1), \quad (1)$$

and

$$\zeta_{\omega(\mathcal{U})}(\sigma_1 \sigma_2 \sigma_1^{-1}) = \sigma_1(\zeta_{\omega(\mathcal{U})}(\sigma_2 \sigma_1^{-1})) + \zeta_{\omega(\mathcal{U})}(\sigma_1) = \sigma_1(\sigma_2(\zeta_{\omega(\mathcal{U})}(\sigma_1^{-1}))) + \zeta_{\omega(\mathcal{U})}(\sigma_2) + \zeta_{\omega(\mathcal{U})}(\sigma_1).$$

From (1), we deduce that :  $\sigma_1(\sigma_2(\zeta_{\omega(\mathcal{U})}(\sigma_1^{-1}))) = -\sigma_1 \sigma_2 \sigma_1^{-1}(\zeta_{\omega(\mathcal{U})}(\sigma_1))$ . But  $\sigma_1 \sigma_2 \sigma_1^{-1}$  is an element of  $R_u$  and  $\zeta_{\omega(\mathcal{U})}(\sigma_1)$  lies in  $\omega(\mathcal{Y})$ . Then,  $\sigma_1(\sigma_2(\zeta_{\omega(\mathcal{U})}(\sigma_1^{-1}))) = -\zeta_{\omega(\mathcal{U})}(\sigma_1)$ . Therefore  $\sigma_1(\zeta_{\omega(\mathcal{U})}(\sigma_2)) = \zeta_{\omega(\mathcal{U})}(\sigma_1 \sigma_2 \sigma_1^{-1})$  belongs to  $W$ .

In other words,  $W$  is an algebraic subgroup over  $C$  of  $\omega(\mathcal{Y})$  which is stable under the action of  $G_{\mathcal{Y}}$ . Now,  $G_{\mathcal{Y}} = \mathbb{G}_m$  and the hypothesis that  $\omega(\mathcal{Y})$  is an *isotypic* representation of  $\mathbb{G}_m$  implies that  $W$  is a  $C$ -vectorial subgroup of the  $C$ -vectorial group  $\omega(\mathcal{Y})$ .

**Lemma 2.3 (see [5], 2.9)** *The image under  $\omega$  of the smallest sub-object of  $\mathbf{V}$  is equal to  $W$ .*

**Proof**

Let us denote by  $\mathcal{V}$  the minimal object of  $\mathbf{V}$ , and by  $V$  its image under  $\omega$ . Then,  $G_{\mathcal{U}}$  acts on  $\omega(\mathcal{U}/\mathcal{V})$  through  $G_{\mathcal{Y}}$  (because  $\mathcal{U}/\mathcal{V}$  is a trivial extension of  $\mathbf{1}$  by a quotient of  $\mathcal{Y}$  in the category  $\mathbf{T}$ ). Thus the projection of  $f^C = s(1)$  in  $\omega(\mathcal{U})/V$  is invariant under the action of  $R_u$ , and the orbit  $\{\sigma f^C - f^C; \sigma \in R_u\}$  lies in  $V$ . Therefore  $\zeta_{\omega(\mathcal{U})}(R_u) := W \subset V$ .

Conversely, the image  $W$  of  $R_u$  under  $\zeta_{\omega(\mathcal{U})}$  is, by Lemma 2.2, a  $C$ -vector-space stable under the action of  $G_{\mathcal{Y}}$  in  $\omega(\mathcal{Y})$ . Then, by equivalence of category, there exists a sub-object  $\mathcal{W}$  of  $\mathcal{Y}$  in  $\mathbf{T}$  such that  $\omega(\mathcal{W}) = W$ . Let us show that  $\mathcal{W}$  is an element of  $\mathbf{V}$ . Since  $W$  is the image of  $R_u$ ,  $G_{\mathcal{U}}$  acts on  $\omega(\mathcal{U})/W$  through its quotient  $G_{\mathcal{U}}/R_u = G_{\mathcal{Y}}$ . Therefore,  $\omega(\mathcal{U})/W(C)$  is an extension of  $C$  by  $\omega(\mathcal{Y})/W(C)$  in the category  $Rep_{G_{\mathcal{Y}}(C)}$ . Because  $G_{\mathcal{Y}} = \mathbb{G}_m$ , this extension is trivial in the category  $Rep_{G_{\mathcal{Y}}}$ . By the Tannakian equivalence of categories, the extension  $\mathcal{U}/\mathcal{W}$  is also trivial in  $Ext_{\mathbf{T}}(\mathbf{1}, \mathcal{Y}/\mathcal{W})$ , and  $\mathcal{W} \in \mathbf{V}$ . Then  $\mathcal{V} \subset \mathcal{W}$  by minimality. This concludes the proof of Lemma 2.3, hence of Theorem 2.1.

**Corollary 2.4** *Let  $\mathcal{Y}$  be an object of  $\mathbf{T}$ , let  $\Delta$  be the ring  $\text{End}(\mathcal{Y})$ , and let  $\mathcal{E}_1, \dots, \mathcal{E}_n$  be extensions of  $\mathbf{1}$  by  $\mathcal{Y}$  such that  $\mathcal{E}_1, \dots, \mathcal{E}_n$  are  $\Delta$ -linearly independent in  $\text{Ext}_{\mathbf{T}}^1(\mathbf{1}, \mathcal{Y})$ . Assume that  $G_{\mathcal{E}_1}, \dots, G_{\mathcal{E}_n}$  are reduced, that  $G_{\mathcal{Y}} = \mathbf{G}_m$ , and that the action of  $\mathbf{G}_m$  on  $\omega(\mathcal{Y})$  is given by its canonical character. Then the unipotent radical of  $G_{\mathcal{E}_1 \oplus \dots \oplus \mathcal{E}_n}$  is isomorphic to  $\omega(\mathcal{Y})^n$ .*

### Proof

For any extension  $\mathcal{E}$  of  $\mathbf{1}$  by  $\mathcal{Y}$ , and for any  $\alpha \in \Delta$ , we denote by  $\alpha_*(\mathcal{E})$  the pushout of  $\mathcal{E}$  by  $\alpha$ ; this is how the structure of  $\Delta$ -module of  $\text{Ext}_{\mathbf{T}}^1(\mathbf{1}, \mathcal{Y})$  is defined.

We first note that the direct sum  $\mathcal{Y}^n$  admits  $G_{\mathcal{Y}^n} = G_{\mathcal{Y}} = \mathbf{G}_m$  as Galois group, and that  $\mathbf{G}_m$  again acts on  $\omega(\mathcal{Y}^n) = \omega(\mathcal{Y})^n$  through its canonical character. On the other hand, the extension  $\mathcal{E}_1 \oplus \dots \oplus \mathcal{E}_n$  of  $\mathbf{1}^n$  by  $\mathcal{Y}^n$  and its pull-back  $\mathcal{E} \in \text{Ext}_{\mathbf{T}}^1(\mathbf{1}, \mathcal{Y}^n)$  by the diagonal map from  $\mathbf{1}$  to  $\mathbf{1}^n$  generate in  $\mathbf{T}$  the same sub-Tannakian category. Therefore, their Galois groups  $G_{\mathcal{E}_1 \oplus \dots \oplus \mathcal{E}_n}$  and  $G_{\mathcal{E}}$  are equal, and reduced in view of our hypothesis. Let us assume that the unipotent radical  $R_u$  of  $G_{\mathcal{E}}$  do not fill up  $\omega(\mathcal{Y}^n) = \omega(\mathcal{Y})^n$ .

By Theorem 2.1,  $R_u$  is equal to the  $C$ -vectorial group  $\omega(\mathcal{V})$  where  $\mathcal{V} \in \mathbf{T}$  is the smallest sub-object of  $\mathcal{Y}^n$  such that the quotient by  $\mathcal{V}$  of the extension  $\mathcal{E}$  of  $\mathbf{1}$  by  $\mathcal{Y}^n$  is trivial in the category  $\mathbf{T}$ . If  $\mathcal{V}$  is not equal to  $\mathcal{Y}^n$ , then  $\omega(\mathcal{V}) \subsetneq \omega(\mathcal{Y}^n)$ . Because  $\omega(\mathcal{V})$  is a sub-representation of the representation  $\omega(\mathcal{Y}^n)$  of  $G_{\mathcal{Y}} = \mathbf{G}_m$ , it lies in the kernel  $H$  of a non trivial  $G_{\mathcal{Y}}$ -equivariant homomorphism  $\phi$  from  $\omega(\mathcal{Y}^n)$  to  $\omega(\mathcal{Y})$ . By tannakian equivalence of category, there then exists a non trivial morphism  $\Phi \in \text{Hom}_{\mathbf{T}}(\mathcal{Y}^n, \mathcal{Y})$  such that  $\mathcal{V} \subset \text{Ker}(\Phi)$ . Now, consider the following diagram :

$$\begin{array}{ccc} \mathcal{Y}^n & & \\ \downarrow & \searrow \Phi & \\ \mathcal{Y}^n/\mathcal{V} & \longrightarrow & \mathcal{Y}^n/\text{Ker}(\Phi) \simeq \mathcal{Y}. \end{array}$$

Since  $\Phi \in \text{Hom}_{\mathbf{T}}(\mathcal{Y}^n, \mathcal{Y})$ , we can write  $\Phi(X_1, \dots, X_n) = \alpha_1 X_1 + \dots + \alpha_n X_n$ , with  $\alpha_i \in \text{End}_{\mathbf{T}}(\mathcal{Y})$ . Then  $\Phi_*(\mathcal{E}) = \alpha_{1*}(\mathcal{E}_1) + \alpha_{2*}(\mathcal{E}_2) + \dots + \alpha_{n*}(\mathcal{E}_n)$  is a quotient of  $\mathcal{E}/\mathcal{V}$ , hence a trivial extension of  $\mathbf{1}$  by  $\mathcal{Y}$  in  $\mathbf{T}$ . In conclusion, the extension  $\alpha_1 \mathcal{E}_1 + \dots + \alpha_n \mathcal{E}_n \in \text{Ext}_{\mathbf{T}}^1(\mathbf{1}, \mathcal{Y})$  is trivial. But this contradicts the  $\Delta$ -linearity independence in  $\text{Ext}_{\mathbf{T}}^1(\mathbf{1}, \mathcal{Y})$  of the extensions  $\mathcal{E}_1, \dots, \mathcal{E}_n$ .

## 3 Application to Theorem 1.5

We shall apply Corollary 2.4 to the category  $\mathbf{T} = \mathcal{T}$  of  $t$ -motives, which satisfies  $C := \text{End}_{\mathcal{T}}(\mathbf{1}) = \mathbb{F}_q(t)$ , and all of whose Galois groups are reduced, and to the Carlitz motive  $\mathcal{Y} := \mathcal{C}$ , for which  $\Delta := \text{End}_{\mathcal{T}}(\mathcal{C}) = \mathbb{F}_q(t)$  and  $G_{\mathcal{C}} = \mathbf{G}_m$  acts on the line  $\omega(\mathcal{C})$  through its canonical character. We recall the extensions  $X(\alpha_i), i = 1, \dots, r$ , of  $\mathbf{1}$  by  $\mathcal{C}$  described in Section 1. Because of Corollary 2.4, the dimension of the algebraic group

$G = G_{\bigoplus_{i=1}^r X(\alpha_i)}$  is equal to  $1 + n$ , where  $n$  denotes the dimension of the vector space over  $\Delta = \mathbb{F}_q(t)$  generated by the  $X(\alpha_i)$ 's in  $\text{Ext}_T^1(\mathbf{1}, \mathcal{C})$ .

By an easy computation (similar to [5], 3.8), we get

$$n = \max\{s \mid \nexists f \in \overline{k}(t), (\mu_i)_{i=1}^s \in \mathbb{F}_q(t) \text{ not all zero, such that } (t-\theta)f^{(-1)} - f = \sum_{i=1}^s \mu_i \alpha_i^{(-1)}(t-\theta)\}.$$

By assumption,  $\tilde{\pi}, \log_C(\alpha_1), \dots, \log_C(\alpha_r)$  are linearly independent over  $k = \mathbb{F}_q(\theta)$ . Following [7], bottom of p. 50, we will now prove that under this hypothesis,  $n$  is equal to  $r$ .

Suppose that  $n < r$ . Then, let us consider  $s$  such that  $\exists f \in \overline{k}(t), (\mu_i)_{i=1}^s \in \mathbb{F}_q(t)$  non all equal to zero such that

$$(t-\theta)f^{(-1)} - f = \sum_{i=1}^s \mu_i \alpha_i^{(-1)}(t-\theta). \quad (2)$$

It follows from Equation (2) that  $f$  is regular at  $t = \theta$  : if not,  $f^{(-1)}$  must have a pole at  $t = \theta^{(-1)}$  which implies that  $f$  has a pole at  $t = \theta^{(-1)}$ . By repeating this argument, we get that if  $f$  is singular at  $t = \theta$  it is also singular at  $t = \theta^{(-i)}$  for all  $i \geq 1$ , which is impossible. Therefore,  $f$  and  $f^{(-1)}$  are regular at  $t = \theta$ .

Considering the form of Equation (2), we then get  $f(\theta) = 0$ . Moreover, the solutions  $y$  of (2) are of the following type :

$$y = \mu \frac{1}{\Omega} + \sum_{i=1}^s \mu_i L_{\alpha_i}$$

with  $\mu \in \mathbb{F}_q(t)$ . So, there exists  $\mu \in \mathbb{F}_q(t)$ , such that :

$$f = \mu \frac{1}{\Omega} + \sum_{i=1}^s \mu_i L_{\alpha_i}. \quad (3)$$

By taking  $t = \theta$  in (3), we get :

$$0 = \mu(\theta) \tilde{\pi} + \sum_{i=1}^s \mu_i(\theta) \log_C(\alpha_i).$$

This is a non trivial relation over  $k$  between  $\tilde{\pi}, \log_C(\alpha_1), \dots, \log_C(\alpha_r)$ , which contradicts our assumption.

So,  $\dim G = r + 1$ . This concludes the proof of Theorem 1.5, and implies, as recalled in Section 1, that  $\text{trdeg}_{\overline{k}}(\tilde{\pi}, \log_C(\alpha_1), \dots, \log_C(\alpha_r)) = r + 1$ , i.e. that  $\tilde{\pi}, \log_C(\alpha_1), \dots, \log_C(\alpha_r)$  are algebraically independent over  $\overline{k}$ .

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